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- (for new non-provisional applications under 37 CFR 1.53(b))



## Derrick Brown

## 2. ☒ Specification

Enclosed are:

\_\_\_ Total Pages, or

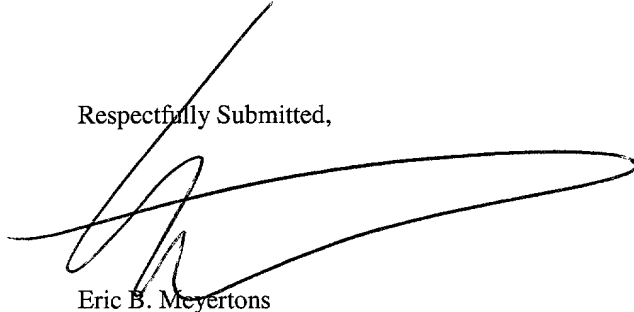
1 Title Page; 23 pages of specification; 21 pages of claims (claims 1-130); 1 page Abstract.

3. ☒ Drawings
- ☒ Enclosed are Formal Drawings (Figures 1-40) on 11 sheets.
  - ☐ Enclosed are Informal Drawings (Figures -    on    sheets).
4. ☒ Oath or Declaration
- ☐ Newly executed
  - ☒ Enclosed is a copy of the Oath or Declaration from above-referenced prior application (see 37 C.F.R. § 1.63(d)) (2 pages).
5. ☐ This application is filed by fewer than all the inventors named in the prior application
- ☐ Delete the following inventor(s) named in the prior non-provisional application: \_\_\_\_\_
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☒ The entire disclosure of the prior application is considered to be part of the accompanying application and is hereby incorporated by reference herein.
7. ☐ Enclosed is a Microfiche Computer Program (Appendix).
8. ☐ Enclosed is a Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).
- ☐ Computer Readable copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies
9. ☒ Assignment Papers
- ☒ Copy of Assignment of the prior application.
  - ☒ Copy of the Notice of Recordation of Assignment of the prior application.
10. ☒ Power of Attorney
- ☒ The power of attorney appears in the original papers of the prior application.
  - ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11. ☒ Information Disclosure Statement (IDS)
- ☒ Enclosed are copies of IDS Forms 1449 from the above-referenced prior application.
  - ☒ The Commissioner is hereby specifically requested to make the Information Disclosure Statements, as well as any prior art of record in the prior application(s), of record in connection with this application.
12. Amendments
- ☒ A preliminary amendment is enclosed (11 pages).
  - ☒ Cancel in this application original claim(s) 2-130 of the prior application before calculating the filing fee.
  - ☒ Amend the specification by inserting before the first line the sentence: --This is a continuation of copending application Serial No. 09/479,458 filed January 6, 2000.--
13. ☒ Return Receipt Postcard
14. Small Entity Status
- ☐ A small entity statement is enclosed.
  - ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
  - ☐ Is no longer claimed.
15. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)
16. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
17. ☐ Other: \_\_\_\_\_

Address all future correspondence to:

ERIC B. MEYERTONS  
CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TEXAS 78767-0398  
Phone: (512) 476-1400  
Facsimile: (512) 703-1250

Respectfully Submitted,



Eric B. Meyertons  
Reg. No. 34,876

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TEXAS 78767-0398  
Phone: (512) 703-1254  
Facsimile: (512) 703-1250

Date: 11/19/01

1004506-11901

Application No.: Unknown  
Filed: November 19, 2001  
Inventor(s):  
Freid, et al.




















**CERTIFICATE OF EXPRESS MAIL  
UNDER 37 C.F.R. §1.10**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents  
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Washington, DC 20231  
  
 Derrick Brown

Title: SYSTEM AND METHOD  
FOR STABILIZING THE  
HUMAN SPINE WITH A  
BONE PLATE

Freid, et al.

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 50-1623/5259-03702.

Respectfully submitted,

Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 703-1254 (voice)  
(512) 703-1250 (facsimile)

Date: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Freid, et al.

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Group Art Unit: Unknown

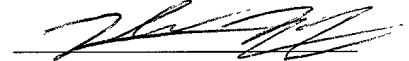
Atty. Dkt.: 5259-03702

U.S. Express Mail Label No. EL764297890US  
Date of Mailing: November 20, 2001

Filed: Herewith

Title: SYSTEM AND METHOD FOR  
STABILIZING THE HUMAN  
SPINE WITH A BONE PLATE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as U.S. Express Mail in an envelope addressed to: Commissioner for Patents, BOX FILING DATE, 2900 Crystal Drive, Arlington, VA 22202-3513, on the date indicated below:

  
Derrick Brown

**REQUEST FOR ORIGINAL EXPRESS MAIL FILING DATE**

Commissioner for Patents  
BOX FILING DATE  
2900 Crystal Drive  
Arlington, VA 22202-3513

Sir:

An attempt was made to send the attached document via U.S. Express Mail, Label No. EL726370206US, on November 19, 2001. However, the U.S. Postal Service refused to accept the Express Mail package. Applicants respectfully request that the original filing date of November 19, 2001 be granted in the above-identified application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

  
Derrick Brown

Conley, Rose & Tayon  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400  
Date: 11-20-01